



## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 2107

HENDRICKS

Group Art Unit: 2137

Application No.: 09/964,882

Examiner: Tamara TESLOVICH

Filed: September 28, 2001

Docket No.: 026880-00019

For: ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING  
ENCRYPTION AND SECURITY FEATURES

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

July 18, 2007

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

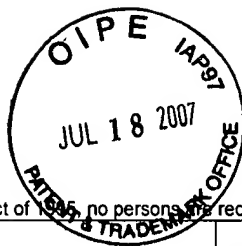
Respectfully submitted,



Sheree T. Rowe  
Registration No. 59,068

Customer No. 004372  
ARENT FOX LLP  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000; (202) 857-6395  
STR/vdb

Enclosure: Form PTO/SB/08 (with 28 references)



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** Form PTO/SB/08a

Complete if Known

Application Number	09/964,882
Filing Date	September 28, 2001
First Named Inventor	John S. HENDRICKS
Art Unit	2137
Examiner Name	Tamara Teslovich
Attorney Docket Number	026880-00019

Sheet	1	of	1
-------	---	----	---

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,272,819		6-1981	Katsumata et al.	
	A2	4,513,390		4-1985	Walter et al.	
	A3	4,774,655		09-1988	Kollin et al.	
	A4	4,796,220		1-1989	Wolfe	
	A5	4,941,125		7-1990	Boyne	
	A6	5,099,331		3-1992	Truong	
	A7	5,220,649		6-1993	Mitchell	
	A8	5,260,788		11-1993	Takano et al.	
	A9	5,341,425		8-1994	Wasilweski et al.	
	A10	5,375,160		12-1994	Guidon et al.	
	A11	5,444,853		8-1995	Lentz	
	A12	5,475,585		12-1995	Bush	
	A13	5,479,615		12-1995	Ishii et al.	
	A14	5,557,744		9-1996	Kobayakawa et al.	
	A15	5,561,803		10-1996	Kilis	
	A16	5,581,686		12-1996	Koppolu et al.	
	A17	5,649,230		7-1997	Lentz	
	A18	5,715,314		2-1998	Payne et al.	
	A19	6,438,233		8-2002	Yoshimune et al.	
	A20	2005/0144133		6-2005	Hoffman	
	A21	2003/0198932		10-2003	Stuppy	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A22	EP 140302	EP		5-1985	Ghosh et al.		
	A23	JP03225445	JP		10-1991	Naka et al.		AB
	A24	JP05233547	JP		9-1993	Kigami et al.		AB
	A25	WO93/15466	WO		8-1993	Diaz et al.		

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A26	Technology Update, WORDPERFECT CORPORATION INTRODUCES WORDPERFECT 6.0 FOR DOS, <a href="http://www.nfbnet.org/files/word_processing/WP60.TXT">http://www.nfbnet.org/files/word_processing/WP60.TXT</a> , 3/24/1993	
	A27	T.V. Raman, "An Audio View of (LA)Tex Documents," Proceedings of the 1191 Annual Meeting, pages 1001-1008	
	A28	Microsoft Press Computer Dictionary Second Edition, Microsoft Press, 1994, p. 418	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.